

Victory Investing LLC

Company White Paper

Confidential — For Accredited Investors Only

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Table of Contents

1. Company Overview
2. Management Team
3. Investment Strategy
4. Risk Disclosure
5. Products & Services
6. Compliance & Legal Disclaimer
7. Contact Information

1. Company Overview

Founded in 2015 and headquartered in New York, **Victory Investing LLC** is a professional investment management firm regulated by the U.S. Securities and Exchange Commission (SEC). The firm is dedicated to providing accredited investors with diversified asset allocation and customized investment management solutions.

Our mission is to deliver long-term, stable capital appreciation for our clients through rigorous research and disciplined risk management. Victory Investing LLC holds FINRA registrations and strictly adheres to all applicable laws and regulations.

2. Management Team

- **John Smith — Founder & Chief Executive Officer**

20 years of experience in the investment industry; previously held senior positions at multiple leading asset management firms.

- **Emily Chen — Chief Investment Officer**

Specializes in global equities and ETF investment strategies; holds a Master's degree in Finance from Yale University.

- **David Müller — Director of Risk Management**

Responsible for the design and implementation of the firm's risk control framework; formerly worked at a major risk consulting firm.

Victory Investing LLC maintains a robust corporate governance structure with an independent Compliance Committee and Investment Committee to ensure transparent and fully compliant operations.

3. Investment Strategy

Victory Investing employs a combined **top-down and bottom-up approach**,

emphasizing a balance between **value investing** and **growth investing**. Our asset allocation covers U.S. equities, European markets, and emerging market stocks, complemented by bonds and alternative assets to diversify risk.

We conduct regular macroeconomic and sector trend analyses and dynamically adjust portfolios accordingly. Risk management measures include **pre-defined stop-loss levels and maximum position limits** to safeguard client assets.

4. Risk Disclosure

All investments carry inherent risks, including but not limited to **market volatility, credit risk, and liquidity risk**. Past performance is not indicative of future results. Investors should fully understand and accept the potential risk of principal loss.

Victory Investing mitigates risk exposure through a **multi-layered risk control framework** and strictly complies with investment restrictions and regulatory requirements.

5. Products & Services

- Customized Managed Accounts
 - Periodic Portfolio Reporting
 - Investment Education & Market Insights
 - Dedicated Client Advisory Support
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6. Compliance & Legal Disclaimer

Victory Investing strictly complies with **SEC regulations** and other applicable laws to protect client privacy and the security of client funds.

This white paper is **for informational purposes only and for accredited investors**. It **does not constitute an offer, solicitation, or recommendation to buy or sell any securities**. All investments involve risks, and investors should exercise caution.

7. Contact Information

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